

06/29/00



6-30-00

A

Please type a plus sign (+) inside this box →



PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

47171-00268

Total Pages

148

First Named Inventor or Application Identifier

Lars R. Stromme et al.

Express Mail Label No.

EL566135979US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 99]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D Invention
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Formal Drawing(s) (35 USC 113) [Total Sheets 0]
4. ☒ Oath or Declaration [Total Pages 4]
- a. ☐ Unexecuted (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
- (for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☒ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**Accompanying Application Parts**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other Check in the amount of \$4,302.00  
37 CFR §1.63(d)(2) Statement

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/864,423**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ New correspondence address below

NAME	John C. Gatz, Registration No. 41,774				
ADDRESS	JENKENS & GILCHRIST 1445 Ross Avenue, Suite 3200				
CITY	Dallas	STATE	TX	ZIP CODE	75202
COUNTRY	U.S.A.	TELEPHONE	312.744.0090	FAX	312.755.4489

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

## FEE TRANSMITTAL for FY 2000

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*

### Complete if Known

Application Number	Unassigned
Filing Date	June 29, 2000
First Named Inventor	Lars R. Stromme et al.
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket Number	47171-00268

**TOTAL AMOUNT OF PAYMENT (\$)** 4,302.00

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **10-0447/47171-00268**

Deposit Account Name **Jenkins & Gilchrist**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	380	Utility filing fee	690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ 690.00)</b>

#### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 173-20 =**	153	X 18.00	= 2,754.00
Independent Claims 14 - 3 =**	11	X 78.00	= 858.00
Multiple Dependent			=

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ 3,612.00)</b>

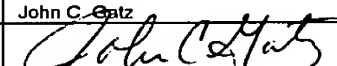
### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
<b>SUBTOTAL (3)</b>					<b>(\$)</b>

\* Reduced by Basic Filing Fee Paid

### SUBMITTED BY

Typed or Printed Name **John C. Gatz**  
Signature 

Date **June 29, 2000**

### Complete (if applicable)

Reg. Number **41,774**  
Deposit Acct. User ID **10-0447/47171-00268**

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Application of:

Lars R. Stromme et al.

Serial No.: Unknown

Filed: June 29, 2000

Title: "Method And Apparatus For Document Processing"

Attorney Docket No. 47171-00268

Group Art Unit: Unknown

Examiner: Unknown

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on June 29, 2000.

Adrienne Morris

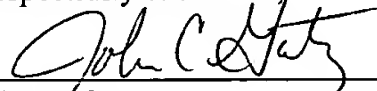
**37 CFR §1.63(d)(2) STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

The Applicants respectfully request the deletion of the following individuals from the continuation application: Matthew L. Anderson, Robert J. Klein and Heinz W. Schreiter. The remaining six individuals are the inventors of the invention claimed herein. The Applicants have attached the original Declaration from Serial No. 08/864,423 as **Exhibit 1**.

The Applicants do not believe there are any fees associated with this Statement. However, the Commissioner is hereby authorized to charge Deposit Account No. 10-0447 (Order No. 47171-00268) for any additional fees that may be required, except for the issue fee.

Respectfully submitted,



John C. Gatz

Reg. No. 41,774

JENKENS & GILCHRIST

1445 Ross Avenue, Suite 3200

Dallas, Texas 75202-2799

(312) 744-0090

Attorney for Applicants

Date: June 29, 2000